

Company and Trust additional application form

 Please staple all relevant material together.

Please submit this form with your completed Home Loan application where the applicant is a Company or Trustee. If a question is not applicable, please write N/A. An explanation of definitions used in the form is also available on our website amp.com.au.

Please print in CAPITAL LETTERS and place a cross in any applicable boxes.

1. Company or Company acting as Corporate Trustee details

1.1 Please select your type of borrower

Australian Proprietary Company Trustee for a trust (Australian Proprietary Company and non-SMSF)

How many trustees does your trust have?

Trustees that are an individual(s)

Trustees that are a company(ies)

Please note: AMP Bank provides home loans only to individuals, Australian proprietary companies and certain Australian trustees as noted above.

1.2 General information Company

Full name of the company (as registered by ASIC) or the full name of the company (as registered by ASIC) as trustee for the trust. If there are multiple company trustees please fill out a new form for each company trustee. If you have an individual or multiple individuals as trustees please complete sections 2 and 3.

Australian Company Number (ACN)

Incorporation date

D	D	M	M	Y	Y	Y	Y
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Australian Business Number (ABN)

Registered office address (must not be a PO Box)

Suburb

Country

State

Postcode

Industry in which company/trust operates

The principal place of business address is the same as the registered office address

Yes No

If No – please provide principal place of business address

Principal place of business address (must not be a PO Box)

Suburb

Country

State

Postcode

1. Company or Company acting as Corporate Trustee details (continued)

1.2 General information Company (continued)

The mailing address is the same as the registered office address Yes No

If No – please provide mailing address

Mailing address

Suburb

Country

State

Postcode

1.3 Foreign Tax Resident (FTR) information for the Company

Tax details

Please complete all details below.

Is the entity a financial institution? Yes No

Is it an investment entity in a non-participating CRS jurisdiction and managed by another financial institution? Yes No

Is the entity a publicly listed company, majority owned subsidiary of a publicly listed company, international organisation, central bank or deceased estate? Yes No

Is the entity a Foreign Tax Resident? Yes No

You must answer **Yes** if the entity is both a foreign and Australian tax resident. The ATO website provides residency test calculators to help determine tax residency, and information on the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA). Additional information about CRS and FATCA can be found on the OECD and IRS websites respectively (oecd.org, ato.gov.au and irs.gov).

The entity is a tax resident of the following countries:

Country of Foreign Tax Residency, if more than one Foreign Tax Residency, fill in the next row for each

Tax Identification Number (TIN)¹, if more than one Foreign Tax Residency, fill in the next row for each

If you cannot provide the tax identification number, please insert reason A, B or C from the list below. If more than one Foreign Tax Residency, fill in the next row for each

¹ A tax identification number is an identifying number used for tax purposes, normally issued by the local tax authority in a country – eg in Australia, the ATO issues a Tax File Number (TFN).

The reason my TIN is not available is:

- A. The country of tax residence does not issue TINs.
- B. The country of tax residence issues a TIN but I currently cannot provide it.
- C. The country of tax residence does not require a TIN to be disclosed.

Is the entity an Active NFE? Yes No

An Active NFE includes an entity that is not a financial institution and derives less than 50% of its gross annual income from passive means (eg dividends, interest, royalties), and less than 50% of the assets held produce, or are held to produce, the passive income. For details of other Active NFE categories, refer to Section VIII of the Common Reporting Standard (CRS) – see 'Standard for Automatic Exchange of Financial Account Information' on the OECD website (oecd.org).

1. Company or Company acting as Corporate Trustee details (continued)

1.4 Additional company information

1.4.1 Trading information

Does your company trade under a business or other name(s)?

Yes No

If Yes – please provide trading name

Is the name registered?

Yes No

If Yes – who is it registered with?

Registration number

1.4.2 Director information

How many directors does your company have?

Documents for sole director companies are executed by director also in the capacity of company secretary. Please supply full names of all directors. If more space is required, please copy this page and attach as a separate sheet.

Director 1

Title

Full given names

Surname

Director 2

Title

Full given names

Surname

2. Trust Information

2.1 General information Trust

If applying as a Company and not as a corporate trustee of a trust, please leave this section blank.

Full name of trust

Full business name (if any) of the trustee in respect of the trust

Country of establishment of the trust

Type of Trust:

Discretionary Trust Family Trust Unit Trust Testamentary Trust Fixed Trust Other

If other – please specify below:

2. Trust Information (continued)

2.2 Foreign Tax Resident (FTR) Information for the Trust

Tax details

Please complete all details below.

Is the entity a financial institution? Yes No

Is it an investment entity in a non-participating CRS jurisdiction and managed by another financial institution? Yes No

Is the entity a publicly listed company, majority owned subsidiary of a publicly listed company, international organisation, central bank or deceased estate? Yes No

Is the entity a Foreign Tax Resident? Yes No

You must answer **Yes** if the entity is both a foreign and Australian tax resident. The ATO website provides residency test calculators to help determine tax residency, and information on the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA). Additional information about CRS and FATCA can be found on the OECD and IRS websites respectively (oecd.org, ato.gov.au and irs.gov).

The entity is a tax resident of the following countries:

Country of Foreign Tax Residency, if more than one Foreign Tax Residency, fill in the next row for each

Tax Identification Number (TIN)¹, if more than one Foreign Tax Residency, fill in the next row for each

If you cannot provide the tax identification number, please insert reason A, B or C from the list below. If more than one Foreign Tax Residency, fill in the next row for each

¹ A tax identification number is an identifying number used for tax purposes, normally issued by the local tax authority in a country – eg in Australia, the ATO issues a Tax File Number (TFN).

The reason my TIN is not available is:

- A. The country of tax residence does not issue TINs.
- B. The country of tax residence issues a TIN but I currently cannot provide it.
- C. The country of tax residence does not require a TIN to be disclosed.

Is the entity an Active NFE? Yes No

An Active NFE includes an entity that is not a financial institution and derives less than 50% of its gross annual income from passive means (eg dividends, interest, royalties), and less than 50% of the assets held produce, or are held to produce, the passive income. For details of other Active NFE categories, refer to Section VIII of the Common Reporting Standard (CRS) – see ‘Standard for Automatic Exchange of Financial Account Information’ on the OECD website (oecd.org).

2. Trust Information (continued)

2.3 Trust Beneficiary Information

Beneficiary details

Please provide the name of each beneficiary below (if the trust has more than four beneficiaries, attach a separate sheet).

Trust beneficiary 1

Individual beneficiary—provide details below:

Title

Full given names

Surname

Company/Entity beneficiary—provide details below:

Full company name

Trust beneficiary 2

Individual beneficiary—provide details below:

Title

Full given names

Surname

Company/Entity beneficiary—provide details below:

Full company name

Trust beneficiary 3

Individual beneficiary—provide details below:

Title

Full given names

Surname

Company/Entity beneficiary—provide details below:

Full company name

Trust beneficiary 4

Individual beneficiary—provide details below:

Title

Full given names

Surname

Company/Entity beneficiary—provide details below:

Full company name

Beneficiary Class

Do the terms of the trust identify the beneficiaries as members of a beneficiary class (eg unit holders, family members of a named person, charitable organisations or cause)?

Yes No

If **No** – do not complete the name of beneficiary class field

If **Yes** – please complete the name of beneficiary class field

Name of beneficiary class(es)

2. Trust Information (continued)

2.4 Trust Settlor Information

Settlor – all trusts

Unit trust that does not have a settlor Testimony trust Trust has a settlor

If you checked that the trust has a settlor, please provide the name of the settlor – the person who settled the initial sum to create the trust.

Trust settlor details

Provide the name of the settlor – the person who settled the initial sum to create the trust.

Individual settlor – provide details below:

Title

Surname

Given name(s)

Company/Entity settlor – provide details below:

Full company name

The signatory(ies) confirm the settlor is either unknown, deceased or has no continuing connection with the trust and after reasonable inquiry, the signatory(ies) have no reason to believe that the settlor is/was a Foreign Tax Resident.

If Yes – do not complete Foreign Tax Resident question for a settlor.

If No – please complete the Foreign Tax Resident question for a settlor

Is the settlor a Foreign Tax Resident?

Yes No

If yes, trust settlor is a tax resident of the following countries:

Country of Foreign Tax Residency

Tax Identification Number (TIN)¹

If you cannot provide the tax identification number, please insert reason A, B or C from the list below

¹ A tax identification number is an identifying number used for tax purposes, normally issued by the local tax authority in a country – eg in Australia, the ATO issues a Tax File Number (TFN).

The reason my TIN is not available is:

A. The country of tax residence does not issue TINs

B. The country of tax residence issues a TIN but I currently cannot provide

C. The country of tax residence does not require a TIN to be disclosed.

Applicable to Company/Entity Settlers who are Foreign Tax Residents only

Is the entity a financial institution?

Yes No

Is it an investment entity in a non-participating CRS jurisdiction and managed by another financial institution?

Yes No

Is the entity a publicly listed company, majority owned subsidiary of a publicly listed company, international organisation, central bank or deceased estate?

Yes No

Is the entity an Active NFE?

Yes No

3. Connected Party details

For Company:

Beneficial owners are people who ultimately own 25% or more of the company's capital issued to shareholders. If no shareholders meet this definition, then provide details for the people who indirectly or directly control your company.

Controlling Persons through either the authority to make decisions about financial or operating policies or voting rights of 25% or more or power of veto. If this person can't be identified, provide details of the senior official(s) such as the Managing Director.

For Trusts:

Beneficial owners are people who ultimately own 25% or more of the entity. If no beneficial owners meet this definition, then provide details for the people who indirectly or directly control the entity.

Controlling Persons through the capacity to direct trustees, the ability to appoint or remove trustees, acting as trustee, or by way of trusts, agreements, arrangements, understandings and practices eg the appointer or protector of the trust. If your entity has more than three connected parties, attach details of additional connected parties on a separate sheet.

Connected party 1

Role (Cross all that apply)

- Signatory (ID required)
 Individual Trustee (ID required)
 Beneficial Owner (ID required)
 Controlling Person (ID required)

Controlling Person, provide your position in the company eg managing director

Title

Date of birth

Surname

Given name(s)

Gender

- Male Female Other

Residential address (a PO Box is not acceptable)

Suburb

State

Postcode

Country

Are you a Foreign Tax Resident?

- Yes No

Foreign Tax Resident details

If you are a foreign tax resident and also a taxpayer in Australia, you should answer **Yes**.

All foreign tax residents and US citizens and resident alien individuals (ie green card test and substantial presence test) should answer **Yes**.

The ATO website provides residency test calculators to help you determine your tax residency, and information on the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA). Additional information about CRS and FATCA can be found on the OECD and IRS websites respectively (oecd.org, ato.gov.au and irs.gov).

I am a tax resident of the following countries:

Country of Foreign Tax Residency (if more than one Foreign Tax Residency, fill in the next row for each)

Tax Identification Number (TIN)¹ (if more than one Foreign Tax Residency, fill in the next row for each)

If you cannot provide the TIN, please insert reason A, B or C from the list below (if more than one Foreign Tax Residency, fill in the next row for each)

¹ A tax identification number is an identifying number used for tax purposes, normally issued by the local tax authority in a country – eg in Australia, the ATO issues a Tax File Number (TFN).

The reason my TIN is not available is:

- A. The country of my tax residence does not issue TINs.
- B. The country of my tax residence issues a TIN but I currently cannot provide it.
- C. The country of my tax residence does not require a TIN to be disclosed.

3. Connected Party details (continued)

Connected party 2

Role (Cross all that apply)

- Signatory (ID required)
- Individual Trustee (ID required)
- Beneficial Owner (ID required)
- Controlling Person (ID required)

Controlling Person, provide your position in the company eg managing director

Title

Date of birth

D	D	M	M	Y	Y	Y	Y
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Surname

Given name(s)

Gender

- Male Female Other

Residential address (a PO Box is not acceptable)

Suburb

State

Postcode

Country

Are you a Foreign Tax Resident?

- Yes No

Foreign Tax Resident details

If you are a foreign tax resident and also a taxpayer in Australia, you should answer **Yes**.

All foreign tax residents and US citizens and resident alien individuals (ie green card test and substantial presence test) should answer **Yes**.

The ATO website provides residency test calculators to help you determine your tax residency, and information on the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA). Additional information about CRS and FATCA can be found on the OECD and IRS websites respectively (oecd.org, ato.gov.au and irs.gov).

I am a tax resident of the following countries:

Country of Foreign Tax Residency (if more than one Foreign Tax Residency, fill in the next row for each)

Connected party 2 (continued)

Tax Identification Number (TIN)¹ (if more than one Foreign Tax Residency, fill in the next row for each)

If you cannot provide the TIN, please insert reason A, B or C from the list below (if more than one Foreign Tax Residency, fill in the next row for each)

¹ A tax identification number is an identifying number used for tax purposes, normally issued by the local tax authority in a country – eg in Australia, the ATO issues a Tax File Number (TFN).

The reason my TIN is not available is:

- A. The country of my tax residence does not issue TINs.
- B. The country of my tax residence issues a TIN but I currently cannot provide it.
- C. The country of my tax residence does not require a TIN to be disclosed.

If there are more than 2 connected parties, please print additional pages and complete.

4. Accountant's details

Accountant's business name

Name of contact

Contact phone number

Fax number

5. Account signing authority (please cross one)

- Any to sign (any one of the signatories can operate the account without the other's permission)
- All to sign (all of the signatories are required to act to operate the account). Access Cards cannot be issued.
- Any two to sign (two of the signatories are required to act to operate the account). Complete only if there are three or more signatories.

6. Declaration by Signatory/Signatories

By signing below I confirm that I, and in the case of the person signing the form, I and the entity:

1. Have read and understood the Privacy Collection and Disclosure Notice.
2. Have obtained consent from any other individual whose personal information has been disclosed in this application, and I have informed the individual of the information within the Privacy Collection and Disclosure Notice.
3. Have read and agree to be bound by the Direct Debit Request Service Agreement (if applicable).
4. Agree to be bound by AMP Bank's terms and conditions available at amp.com.au/bankterms or by calling 13 30 30. I understand that I will automatically agree to them the first time I, or a person authorised by me, operates the account.
5. Acknowledge that any application is subject to AMP Bank approval.
6. Am not commonly known by any names other than those disclosed in this application or otherwise to AMP Bank.
7. Have provided true and accurate information in relation to this application. Any document or information to be used for the purposes of this application (whether or not provided on or with this application):
 - is correct and complete,
 - if it's about another person, is provided with the authority of that person (if required), and
 - may be used for any other products, services or benefits offered or provided to me through AMP Bank or any other company in the AMP group and subject to their privacy obligations, may be disclosed to and used by the providers of such products, services or benefits to facilitate compliance with anti-money laundering and counter-terrorist financing legislation.
8. Understand that it is a criminal offence to knowingly provide false or misleading information or documents in connection with this application.
9. Consent to AMP Bank providing information held about the account(s) being applied for and the account holder(s) to a financial adviser, broker or originator named in this application, and/or to joint venture partners, business partners and related party and third party service providers for the purposes of those parties,
 - i. providing the information to the financial adviser, broker or originator named in this application, or
 - ii. providing administration services to the account holder(s).Such information may comprise customer information (including personal information), account documentation and account information (including account balance, and current and historical account and transactional information).
10. Agree to notify AMP when there are any changes to the information provided in this application, including the tax residency of the company/trust/organisation or any of its controlling persons changes before I/we drawdown the loan.
11. Where the account is opened in the name of a trust, and the trust makes a distribution to a beneficiary who is a foreign tax resident. You will notify us within 30 days of the distribution being made. You will also provide us with the beneficiary details requested, including details in relation to their foreign tax residency.

Where I have appointed an agent or third party signatory and that person is signing this application on my behalf, the last three declarations above are also given by and bind them in their personal capacity. I will provide proof of authority (such as a Power of Attorney, accompanied by a **Third Party Access form** and **Identification & Verification form**) which I have obtained from amp.com.au.

By submitting this application I also acknowledge that AMP Bank may decide to delay or refuse any request or transaction (deposits and withdrawals) if AMP Bank has not been able to verify my or a signatory's identity, or if AMP Bank believe in good faith that allowing the transaction may cause an offence to be committed. I understand that AMP Bank does not accept responsibility for any such delay or refusal.

I also acknowledge that if I have not provided a Tax File Number or exemption, AMP Bank may deduct tax from any interest earned on my account as required by law.

If there is more than 2 signatures please print out this page to collect all signatures.

Applicant 1

Date

Position

Name of signatory

Applicant 2

Date

Position

Name of signatory