

Company and Trust Application – Definitions

Australian financial market means a financial market operated by:

- Australia Pacific Exchange Limited
- Australian Securities Exchange Limited
- Bendigo Stock Exchange Limited, or
- Stock Exchange of Newcastle Limited.

Australian listed company means an Australian company that is a public company included in the official list of an Australian financial market.

Certified copy means a document that has been certified as a true copy of the original document by one of the following:

- An officer with, or authorised representative of, a holder of an Australian financial services licence, having two or more continuous years of service with one or more licensees.
- Finance company officer with two or more continuous years of service with one or more finance companies (for the purposes of the *Statutory Declaration Regulations 1993*).
- An officer with two or more continuous years of service with one or more financial institutions (for the purposes of the *Statutory Declaration Regulations 1993*).
- A permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public.
- An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public.
- A Justice of the Peace.
- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described).
- A judge of a court.
- A magistrate.
- A chief executive officer of a Commonwealth court.
- A registrar or deputy registrar of a court.
- A police officer.
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the *Consular Fees Act 1955*).

- A member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with two or more years of continuous membership.
- A notary public (for the purposes of the *Statutory Declaration Regulations 1993*).

Class of beneficiary may include:

- For a unit trust, holders of units in the trust.
- For a family trust, family members of a named person (give the person's name).
- For a superannuation fund (including self-managed superannuation funds), members of the fund.
- For a regulated platform, clients or members of the regulated platform.
- For a charitable trust, a description of the purpose of the trust.

Listed company means:

- An Australian company listed on the stock exchange.
- A foreign company registered with ASIC that is a company included in the official list of an Australian financial market, or
- A foreign company (whether or not registered with ASIC) that is a company whose shares, in whole or in part, are listed for quotation in the official list of any of the following financial markets:
 - American Stock Exchange
 - Borsa Italiana
 - Bourse de Paris
 - Bursa Malaysia Main Board and Bursa Malaysia Second Board
 - Eurex Amsterdam
 - Frankfurt Stock Exchange
 - Hong Kong Stock Exchange
 - JSE Securities Exchange
 - London Stock Exchange
 - NASDAQ National Market
 - New York Stock Exchange
 - New Zealand Stock Exchange
 - Stock Exchange of Singapore
 - SWX Swiss Exchange
 - Tokyo Stock Exchange
 - Toronto Stock Exchange, and
 - Any other financial market AMP Bank agrees.

Regulated trust means a trust that is registered and subject to regulatory oversight of an Australian Commonwealth statutory regulator in relation to its activities as a trust, including:

- A self-managed superannuation fund within the meaning of section 19 of the *Superannuation Industry (Supervision) Act 1993*: the relevant regulator is the Australian Taxation Office.
- A regulated superannuation fund, an approved deposit fund, a pooled superannuation trust, or a public sector superannuation scheme, within the meaning of the *Superannuation Industry (Supervision) Act 1993*, including small APRA-regulated funds: the relevant regulator is the Australian Prudential Regulation Authority, or
- Any other trust that the planner and issuer agree is a regulated trust.

Type of trust includes the following types of trusts:

- Family trust
- Self-managed super fund
- Charitable trust
- Regulated superannuation trust
- Registered scheme
- Wholesale trust
- Testamentary trust
- Custody arrangement
- Regulated investment platform
- Trading trust
- Discretionary trust
- Listed trust
- Private property trust
- Private investment trust
- Securitisation trust
- Child trust
- Estate.